#### INDEX FOIA Request No. 2015-000049

Facsimile cover sheet, dated May 18, 2009, with attachments
Unfair Labor Practice Charge, dated May 19, 2009
Opening letter, dated May 20, 2009, with attachments
Dismissal letter dated September 3, 2009, with attachment
ULP Data Entry Form in Case No. DA-CA-09-0230
Affidavit of Eugene Smith in Case No. DA-CA-09-0230
TransUnion Credit Reporting Agency report, dated January 3, 2008, Page 1 of 16
TransUnion report, dated June 5, 2009, Page 1 of 8
Letter To Whom It May Concern, dated July 19, 2004
Declaration Under 28 U.S.C. 1746
Facsimile cover sheet, dated June 1, 2009, with attachments

Phone: 870-270-2546

Fax:



To: James Petrucci Regional Di	From: Eugene Smith		
Fax: 214-767-0156	Pages: 3		
Re:	Date: May 18, 2009		

200 Souh McClendon St., Forrest City, AR 72335

Eugene Smith 200 South McClendon Street Forrest City, Arkansas 72335

May12, 2009

Federal Labor Relations Authority
Dallas Regional Office
525 S. Griffin Street, Suite 926, L8-107
Dallas, TX 75202-1906
214-767-6266 Phone
214-767-0156 Fax

Reference: Requesting the General Counsel Investigate Complaint

Dear James Petrucci Regional Director

I am requesting that the federal Labor Relations Authority assist me in determining if the U.S government is responsible for the fair treatment of my employment. As stated by the FLRA which states (C) facilitates and encourages the amicable settlements of disputes between employees and their employers involving conditions of employment.

My complaint with the U.S government is that I signed up to be a volunteer research subject not to be knowing of the situation being (Medical) at the time of entry into employment with the U.S Army/ U.S. Department of defense. My employment with the agency began in 1969 and my deferred payroll compensation has been due since 2005.

What I would like clarified by FLRA is whether I have certain rights as an employee of the Federal government. I am in need of information on establishment of certain rights and obligations as an employee of the government.

I have not been able to establish fair treatment from the U.S Government. I have been attempting to deal with the agency (U.S Government) concerning grievances and conditions of employment. My request for mediation has been unsuccessful.

My complaint of unfair labor practices is that the (1) U.S Army/ U.S Department of Defense is denying my employment but continue to use my body to perform experimentation.(2) In these unfair conditions of employment I am being denied access to payments of deferred payroll compensation and benefits.

(3) The trustee breached the agreement of payment.

My request for the General Counsel to investigate is based on the following information from the FLRA website.

MAY-18-2009 14:23 8665839341 93% P.02

ANY TOY AND ANY COLUMN TO A COMMON PORT MOOF WERE MIND ANY CITE LOUNTED BR 919

## Title 5 U.S.C § 7118. Prevention of unfair labor practices

(a)(1) If any agency or labor organization is charged by any person with having engaged in or engaging in an unfair labor practice, the General Counsel shall investigate the charge and may issue and cause to be served upon the agency or labor organization a complaint. In any case in which the General Counsel does not issue a complaint because the charge fails to state an unfair labor practice, the General Counsel shall provide the person making the charge a written statement of the reasons for not issuing a complaint. Thank you for your cooperation in this matter.

Sincerely

Eugene Smith 870-270-2546

MAY-18-2009 14:24 8665839341 93%

P.03



## UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY

FOR	FLRA	USE	ONLY	

Case No. DA-CA-09-0230

	O A TRICODO A RELACIONE.	~***		1-04-01-	vaso
CHARGE AGAINST AN AGENCY		J¥	Date Filed	MAY 1 9 2009	a
Complete instructions are on the back o	f this form.			MA) 1 9 2003	3
1. Charged Activity or Agency	1981-1981-1981-1981-1981-1981-1981-1981	2. Charg	ing Party (Labor O	rganization or individ	dual)
Name: U.S Army	<i>\$</i>		ugene Smith	. Sammarion of instru	udai,
•		Address:	200 0	<b>^.</b>	
Address: 1700 Army Pentagon Washington, D.C 20310-1700	<b>1</b>		200 South McClend Forrest Citv. Arkans	on St. :20 72335	
Tel.#: (703))601-1000 Ex		Tel.#:	870-270-2546	Ext.	8.6
Fax#: (703) 607-5954		Fax#:	( )		
3. Charged Activity or Agency Con	tact Information	4. Char	ging Party Contac	t information:	
Name: Pete Geren		1	ete Geren		
Title: Secretary of Army Address:				ant to the Secretares	
1700 Army Pentagon	<u> </u>	Audress:	105 Army Pentagon	NA	<b>53</b>
	xt.	Tel.#:	((703) 604-327	1 Ext.	M-65
Fax#: (703) 607-5954		Fax#:	<u> </u>		
5. Which subsection(s) of 5 U.S.C. 7116(a)					
6. Tell exactly WHAT the activity (or agent				•	
I signed a agreement with the Departn Labor Relations Authority assist me in	nent of U.S Army in 1969	o become a	research participan	t. I am requesting that	t the federal
stated by the FLRA which states (C)	facilitates and encourage	s the amica	tesponsible for life in the settlements of d	air treatment of my en isoutes between empl	Invese and
their employers involving conditions of	employment.	o trio armou	DIO SCERCINGINA OI U	apates between empi	oyecs and
My complaint with the U.S governmen	it is that I signed up to be a	volunteer i	research subject no	t to be knowing of the	situation being
(Medical) at the time of entry into emp				ly employment with th	ne agency
began in 1969 and my deferred payrol	I compensation has been	due since 20	005.	1	
What I would like clarified by FLRA is information on establishment of certain					in need or
suprince of obtainers from or solution	Tigito and obligations as	an omploye	o or are government	<b>L.</b>	
I have not been able to establish fair to Government) concerning grievances a					
My complaint of unfair labor practices to use my body to perform experiment deferred payroll compensation and be	tation.(2) In these unfair of	U.S Departronditions of	nent of Defense is d employment I am b	lenying my employme eing denied access to	nt but continue payments of
(3) The trustee breached the agreement	ent of payment. (4) They	nave not rele	ased anv medical in	nformation to my med	ical doctors ( i
(3) The trustee breached the agreement of payment. (4) They have not released any medical information to my medical doctors (i have requested release of medical records) which I believe is a violation. (5) The U.S Army is violating Army regulations AR70-25 and AR70-40 on the treatment of Human Research Subjects. (6) The U.S Army is denying me due process.					ns AR70-25
My request for the General Courses to	invactionts is based on t	ا جمالمستمد :	nfarmation town the	ELDA websits	
My request for the General Counsel to	i invesigate is dased on ti	ie iolowing l	mormation from the	FLKA Website.	
Title 5 U.S.C § 7118. Prevention of	unfair labor practices	•			ļ
(a)(1) If any agency or labor org	anization is charged by ar	y person wi	th having engaged in	n or engaging in an ur	nfair labor
practice, the General Counsel shall invoganization a complaint. In any case					
unfair labor practice, the General Cour	nsel shall provide the pers	on making ti	ne charge a written	statement of the reason	ons for not
issuing a complaint. The U.S Army is					
•					İ
					-
7 Mayo you or arrange also relead this seatt	or in your other arread, and	r Nim `	fan 35	7 5	5.5
7. Have you or anyone else raised this matte				? [see reverse]	
8. I DECLARE THAT I HAVE READ THIS	CHARGE AND THAT THE	VATEMENTS	IN IT ARE TRUE TO	THE BEST OF MY KNO	WLEDGE AND
BELIEF. I UNDERSTAND THAT MAKING 1001. THIS CHARGE WAS SERVED ON TH	E PERSON IDENTIFIED IN RO	SENIO CAN B	E CURIONED BY FINE	E AND IMPRISONMENT,  1st Class Mail	, 18 U.S.C.
Commercial Delivery Certified			Imal 1844 [	ET 197 Ordes Might 188	II T GISUII
Eugene Smith	1 1	\/ai/	5	05/12/2009	
Type or Print Your Name	<b>□11/</b> ₩	ir Signature			ate
				La	now.



# UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY DALLAS REGION

#### A. Maceo Smith Federal Building

525 S. Griffin Street, Suite 926, LB 107 Dallas, Texas 75202-5093 (214)767-6266 FAX: (214)767-0156 www.flra.gov

May 20, 2009

Eugene Smith 200 South McClendon St. Forrest City, AR 72335

Pete Geren Secretary of the Army 1700 Army Pentagon Washington, DC 20310-1700

Re:

Department of Defense United States Army Washington, DC Case No. DA-CA-09-0230

Dear Messrs. Smith and Geren:

Enclosed is a copy of the unfair labor practice charge which has been filed with this Office and assigned the case number shown above. To complete the investigation expeditiously, and to make a determination as to the merits of the charge, it is important that the parties cooperate fully during the ensuing investigation of the charge. You will be contacted shortly by the Agent who has been or will be assigned to investigate the charge. If you have any questions, please contact directly either the Agent or Regional Point of Contact indicated below.

If you are the party who filed the charge (Charging Party) and have not already done so, please submit the following so that it is **received** by this Office by June 1, 2009:

- 1. A list of witnesses names, positions, day and evening telephone numbers, and a summary of their expected testimony about their personal knowledge of the charge;
- 2. Copies of all relevant documents, with an Index if submission is voluminous.

Section 2423.4(e) of the FLRA's Regulations requires that you provide this evidence/information. If you did not submit any evidence or information when you filed the charge and do not provide the material noted above so that this Office has **received** it by June 1, 2009, the charge may be dismissed for lack of cooperation. The Charging Party is responsible for confirming that all supporting evidence and information has been received by the date noted above.

If you are the party against whom this charge is filed (Charged Party), you are requested to review the allegations in the charge and submit a written position to this Office. You also are expected to cooperate fully in the investigation and will be asked by the Agent to supply documentary evidence or witnesses as is deemed necessary.

To assist both parties in understanding how an unfair labor practice charge is processed, I have enclosed an information sheet describing the investigatory process. Also, if someone other than you will be representing your party in this matter, please complete the enclosed "Notice of Designation of Representative."

Very truly yours,

James E. Petrucci Regional Director

Assigned Agent or Regional Point of Contact:

William D. Kirsner, Attorney, 214-767-6266 ext.8016, E-mail wkirsner@flra.gov

Enclosed:

Description of Unfair Labor Practice Investigation Procedure

Notice of Designation of Representative



# UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY

FOR	FLRA	USE	ONLY

Case No. DA-1A-09-0230

CHARGE ACATRON AND CONTROL	DA-04-01-00.0			
CHARGE AGAINST AN AGENC	Exact Project			
Complete instructions are on the back of this form.				
1. Charged Activity or Agency	2. Charging Party (Labor Organization or Individual)			
Name: U.S Army	Name: Eugene Smith			
Address: 1700 Army Pentagon	Address: 200 South McClendon St.			
Washington. D.C 20310-1700 ■ Tel.#: (703))601-1000 Ext.	Forrest City. Arkansas 72335			
Fax#: (703) 607-5954	Tel.#: \$70-270-2546 Ext.			
3. Charged Activity or Agency Contact Information	4. Charging Party Contact Information			
Name: Pete Geren	Name: Pete Geren			
Title: Secretary of Army	Title: Administrative Assistant to the Secretary			
Address: 1700 Army Pentagon	Address: 105 Army Pentagon			
Tel.#: (703) 601-1000 Ext.	\(\text{\alpha}\) \(\al			
Fax#: (703) 607-5954	Fax#: ( )			
5. Which subsection(s) of 5 U.S.C. 7116(a) do you believe have been violate	d? [See reverse] (1) and 7118			
6. Tell exactly WHAT the activity (or agency) did. Start with the DATE and	LOCATION, state WHO was involved, including titles.			
I signed a agreement with the Department of U.S Army in 1969 to	become a research participant I am requesting that the federal			
Labor Relations Authority assist me in determining if the U.S gover	mment is responsible for the fair treatment of my employment As			
stated by the FLRA which states (C) facilitates and encourages their employers involving conditions of employment.	the amicable settlements of disputes between employees and			
My complaint with the U.S government is that I signed up to be a v	columner received subject not to be knowing of the alternative being			
(Medical) at the time of entry into employment with the U.S Army/	U.S Department of defense. My employment with the agency			
began in 1969 and my deferred payroll compensation has been du	e since 2005.			
What I would like clarified by FLRA is whether I have certain rights	s as an employee of the Federal government. I am in need of			
information on establishment of certain rights and obligations as a	n employee of the government.			
I have not been able to establish fair treatment from the U.S Gover	mment. I have been attempting to deal with the agency (ILS			
Government) concerning grievances and conditions of employment. My request for mediation has been unsuccessful.				
My complaint of unfair labor practices is that the (1) U.S Army/ U.	C Donorton and a Conference in decision of the conference in the c			
to use my body to perform experimentation.(2) In these unfair cor	of employment I am being denied access to payment of			
deterred payroll compensation and benefits.	1			
(3) The trustee breached the agreement of payment. (4) They have not released any medical information to my medical doctors (i				
have requested release of medical records) which I believe is a violation. (5) The U.S Army is violating Army regulations AR70-25 and AR70-40 on the treatment of Human Research Subjects. (6) The U.S Army is denying me due process.				
and 70.00-40 on the treatment of Franket Nessearch Sabjects. (b)	The U.S Army is denying me due process.			
My request for the General Counsel to investigate is based on the	following information from the FLRA website.			
Title 5 U.S.C § 7118. Prevention of unfair labor practices				
(a)(1) If any agency or labor organization is charged by any	person with having engaged in or engaging in an unfair labor			
practice, the General Counsel shall investigate the charge and ma	vissue and cause to be served upon the agency or labor			
organization a complaint. In any case in which the General Counse	el does not issue a complaint because the charge fails to state an			
unfair labor practice, the General Counsel shall provide the person	making the charge a written statement of the reasons for not			
issuing a complaint. The U.S Army is not revealing the information	rulat i nave been a employee.			
	Maria Basis			
7. Have you or anyone else raised this matter in any other procedure?				
8. I DECLARE THAT I HAVE READ THIS CHARGE AND THAT THE STA BELIEF. I UNDERSTAND THAT MAKING WILLFULLY FALSE STATENE	VIS CAN BE PUNISHED BY FINE AND IMPRISONMENTS 1911 C.C.			
1001. THIS CHARGE WAS SERVED ON THE PERSON IDENTIFIED IN BOX	3 BY [check "x" box]  Fax  1st Class Mail  In Person			
Commercial Delivery Certified Mail				
Eugene Smith	)/m 05/12/2009			
1. / 1/-	ignature Date			
	Logic !			

## DESCRIPTION OF THE UNFAIR LABOR PRACTICE INVESTIGATION PROCEDURE

#### What happens after a charge is received by a Regional Office?

After a charge is received, it is docketed and given a case number. An opening letter is then sent to both parties with a copy of the charge, a notice of designation of representative form, and an information sheet on alternative dispute resolution services. Both parties are informed of their obligations to cooperate fully in the investigation and are encouraged to resolve informally the dispute that gave rise to the charge.

#### Can the charge be transferred to a different Regional Office?

Yes. Occasionally, when necessary to avoid unnecessary costs or delay and to effectuate the purposes of the Statute, a charge may be transferred to a different Regional Office. The charge is processed in the same manner regardless of the Region processing the charge.

#### When will I first speak with the Agent?

Soon after the charge is filed, the assigned Agent contacts both parties and:
(1) clarifies the allegation(s) in the charge; (2) describes each party's obligation to cooperate in the investigation; (3) reviews each party's testimonial and documentary evidence; and (4) clarifies and determines whether official time is needed for any employees.

#### Will the Agent assist the parties in resolving the dispute that gave rise to the charge?

No. The General Counsel encourages the informal resolution by the parties of unfair labor practice allegations subsequent to the filing of a charge and prior to the issuance of a complaint by a Regional Director.

#### How will the charge be investigated?

The Regions use a variety of investigative techniques to obtain the best possible, relevant evidence. The investigation may involve: (1) an on-site visit and the taking of signed and affirmed affidavits and the gathering of documents; (2) the taking of affidavits over the telephone; (3) parties filling out signed and affirmed questionnaires; and (4) letters confirming information discussed telephonically. The RD relies upon this evidence in deciding whether or not the ULP charge has merit. Agencies are always notified before an Agent visits the workplace.

#### When are employees entitled to official time?

Employees deemed necessary by the Region to give evidence during the investigation are granted official time under section 7131(c) of the Statute. Employees requested to complete a questionnaire and to review a telephone affidavit also are entitled to reasonable official time. The Agent obtains clearance for use of such time with the agency. Official time to gather information during the course of the investigation depends upon the parties' contract and past practices and does not involve Regional Office authorization.

#### How do the parties cooperate with the Region during an investigation?

Cooperation includes, as determined by the Regional Director: (1) making union officials, employees and agency supervisors and managers available to give sworn/affirmed testimony regarding matters under investigation; (2) producing documentary evidence pertinent to the matters under investigation; and (3) providing statements of position in the matters under investigation.

#### What happens if a party does not cooperate in the investigation?

A Charging Party's failure to cooperate could result in a dismissal of the charge for insufficient evidence. A Charged Party's failure to cooperate, as requested, could result in the issuance and enforcement of an investigative subpoena.

#### When is an investigation completed?

An investigation is completed when each party has been given a reasonable opportunity to provide relevant evidence and there are sufficient facts for the Regional Director to render a decision on the merits of the charge.

#### What happens if the Regional Director determines that the charge does not have merit?

If the Regional Director determines that the charge does not have merit and therefore should be dismissed, both parties are notified. The parties area also informed that the charge will be dismissed within a reasonable amount of time unless a withdrawal request is submitted before the dismissal letter issues.

#### Can that dismissal decision be appealed?

Yes. A dismissal is appealable to the Office of the General Counsel in Washington, D.C. The General Counsel may dismiss the appeal and close the case or remand the case for further investigation or issuance of a complaint. The General Counsel's decision to deny an appeal and close a case is not subject to review.

#### What happens if the Regional Director determines that the charge has merit?

If the Regional Director determines that the evidence supports issuance of a complaint, the Region, as the public prosecutor, attempts to settle the charge prior to issuance of a complaint and notice of hearing which schedules the matter for trial before a FLRA Administrative Law Judge. The complaint sets forth the allegations to be prosecuted and is served on all parties to the charge. Settlement efforts may continue after the issuance of complaint up until the trial begins.



#### UNITED STATES OF AMERICA

### FEDERAL LABOR RELATIONS AUTHORITY

NOTICE OF I	DESIGNATION OF REPRESENT	Alive
	*	
	CASE	NO.
TO: Regional Director,		
I, the undersigned party, hereby design address appears below. Said representati communications in this proceeding, excep revocation is filed by me.	ve is to be served copies of all for	mal documents and written
Signature of party (please sign in ink)	Representative's name	, address, zip code (print or type)
Title		
	Area Code	Telephone Number



# UNITED STATES OF AMERICA FEDERAL LABOR RELATIONS AUTHORITY DALLAS REGION

A. Maceo Smith Federal Building
525 S. Griffin Street, Suite 926, LB 107
Dallas, Texas 75202-5093
(214) 767-6266 Fax: (214) 767-0156
www.flra.gov

September 3, 2009

Eugene Smith 200 South McClendon Street Forrest City, Arkansas 72335

Re: Department of Defense

United States Army
Washington, D.C.

Case No. DA-CA-09-0230

Dear Mr. Smith:

The unfair labor practice charge in this case was filed with the Dallas Regional Office on May 19, 2009. After consideration of the evidence and application of the law to the facts, issuance of a complaint is not warranted.

In this charge, as clarified during the investigation, you, as an individual, allege that the Department of Defense, U.S. Army, Washington, D.C. (Activity), violated section 7116(a)(1) and Section 7118 of the Federal Service Labor-Management Relations Statute (Statute) when it failed to pay you for your service to the U.S. Army.

In this charge, you contend that since 1969, you have been a participant in a behavioral study that has been run by the U.S. Army. To date, you have not been paid for your participation, and you contend that you were to have been contacted to be paid in 2005, after the study had been running for 35 years. You have written to the Army to request payment, but, to date, they have responded that they have no information that you have been in a study. You acknowledge that you have not received a pay check from the Federal Government, and the last employer from whom you received a paycheck was Commonwealth Edison in 1991. While you provided the FLRA with copies of credit reports from 2008 and 2009 that reflect that you were employed by the Army, Medical Research and Development in Frederick Maryland, and by the Department of Defense, they do evidence that you were employed in a position covered by the Statute.

Any charge alleging an unfair labor practice which is based on conduct or events which occurred more than six months before the filing of the charge is untimely under Section 7118(a)(4)(A) of the Statute, unless it is established that the respondent agency or labor organization prevented the person filing the charge from discovering the allegedly unlawful conduct within the six-month limitations period by concealment or by failing to perform a duty owed to the charging party. Any concealment or failure to perform a duty must have prevented the discovery of the unfair labor practice within six months of its occurrence. *United States Department of Labor*, 20 FLRA 296 (1985).

As regards the alleged failure to pay you staring in 2005, those allegations are not timely asserted in this charge which was filed on May 19, 2009. Moreover, to the extent the alleged failure to pay you in the last six months is timely asserted, the evidence does not support the conclusion that you were a civilian federal employee who is covered by the Statute, and thus, the evidence does not support the conclusion that your situation falls under the Statute. Lastly, even if you are an employee who is covered by the Statute, the Statute does not address alleged failure to pay wage cases based on the circumstances presented. I am, therefore, refusing to issue a complaint in this matter.

An appeal may be filed by mail or hand delivery with the Office of the General Counsel at the following address:

Federal Labor Relations Authority Office of the General Counsel Attn: Appeals 1400 K Street NW, Second Floor Washington, DC 20424-0001

Whichever method of service is chosen, please note that the last day for filing an appeal in this case is October 5, 2009. This means that an appeal that is mailed must be postmarked, or an appeal must be hand delivered, no later than October 5, 2009. Please send a copy of the appeal to the Regional Director.

If more time is needed to prepare an appeal, a motion to request an extension of time may be filed. Mail or hand deliver the request for an extension of time to the Office of the General Counsel at the address listed above. Because a request for an extension of time must be received at least five days before the date the appeal is due, any mailed or hand-delivered request for an extension of time in this case must be received at the above address no later than September 30, 2009.

The procedures, time limits, and grounds for filing an appeal are set forth in the Authority's Regulations at section 2423.11(c) through (e) (Volume 5 of the Code of Regulations). 5 C.F.R. §2423.11(c)-(e). These regulations may be found in any Authority Regional office, public law library, some large general purpose libraries, Federal Personnel Offices, and the Authority's Home Page internet site - www.FLRA.gov. A document which summarizes commonly-asked questions and answers regarding the Office of the General Counsel's unfair labor practice appeals process has also been enclosed.

Very truly yours,

James E. Petrucci Regional Director

Enclosure

cc: Gail Reinhart, U.S. Army, DAJA-LE, 1777 N. Kent Street, 10<sup>th</sup> Floor, Rosslyn, Virginia 22209

#### ATTACHMENT

#### QUESTIONS AND ANSWERS ABOUT UNFAIR LABOR PRACTICE APPEALS TO THE OFFICE OF THE GENERAL COUNSEL (OGC) FEDERAL LABOR RELATIONS AUTHORITY

# Q #1: What are the grounds for granting an appeal and what must your appeal establish to be granted?

The grounds for granting an appeal are set forth in Section 2423.11(e) of the Rules and Regulations. An appeal may be granted if it establishes at least one of the following grounds:

- 1. The Regional Director's decision did not consider material facts that would have resulted in issuance of a complaint.
- 2. The Regional Director's decision is based on a finding of a material fact that is clearly erroneous.
- 3. The Regional Director's decision is based on an incorrect statement or application of the applicable rule of law.
- 4. There is no Authority precedent on the legal issue in the case.
- 5. The manner in which the Region conducted the investigation has resulted in prejudicial error.

#### Q #2: What happens after the appeal is filed?

A letter acknowledging receipt of the appeal is sent to the Charging Party with a copy to the Charged Party. The appeals review includes a review of the appeal and the evidence in the file obtained during the investigation. Upon completion of the appeals review, the parties will receive a written Order signed by the General Counsel which either: 1) grants the appeal and orders further investigation of specific factual issues or issuance of a complaint over a specific violation; or 2) denies the appeal because none of the grounds for granting an appeal have been established.

#### O #3: Does the appeal letter address each and every argument made in the appeal?

When an appeal is denied, the appeal decision is to affirm and adopt the Regional Director's determination of the material facts, the applicable law and rationale and reasoning for the finding that the evidence does not establish an unfair labor practice. Therefore, if the factual and legal issues have been correctly and sufficiently addressed by the Regional Director, the appeal determination letter does not restate this discussion. Rather, the appeal Order incorporates by reference the full discussion of the facts and the law as set forth in the Regional Director's dismissal letter. Similarly, if the appeal establishes that one of the grounds for review has been met, the appeal Order does not discuss each and every argument presented in the appeal. In those cases, the appeal Order granting an appeal sets aside the Regional Director's decision with a statement of the ground for granting the appeal and the future case processing action to be taken by the Regional Director.

#### Q #4: How long does the appeal review process take?

The OGC's goal is to issue an Order on the appeal within 60 days or less of the date on which the appeal is received.

#### Q #5: Once an appeal Order issues, are there appeal rights?

The decision on the appeal is final. Section 2423.11 of the Rules and Regulations sets forth the appeals process. Paragraph (g) of this section provides that the Charging Party may file a motion for reconsideration of the final decision if it can establish with particularity extraordinary circumstances which are supported by citations to Authority case law. The motion must be filed within 10 days after the date on which the General Counsel's decision is postmarked. The General Counsel's decision on a motion for reconsideration is final.

#### Q #6: Should evidence be included with the appeal?

No. All of the evidence that was given to the Region during the investigation is in the investigative file and will be reviewed. This evidence may be referred to in the appeal.

#### Q #7: May new evidence not given to the Region be submitted?

No. An appeals review is not *de novo*. No new evidence will be considered unless it can be established in the appeal that the evidence either did not exist during the investigation or the existence of the evidence could not have been reasonably known about.

# Q #8: Can the merits of the appeal be discussed with anyone from the OGC while the appeal is pending?

No. The appeal process is not an investigative process. The decision will be based on the appeal and the investigative file. The OGC will notify the parties as soon as a decision is reached. If the appeal is granted, the case will be returned to the Regional Office and the parties will be contacted by the Region for further processing of the case.

# Q #9: To whom can the parties speak if there are any questions about how the charge was processed and decided?

Parties may always contact the Regional Offices or the OGC if they have questions about the processing of a charge, do not understand the basis for the dismissal of a charge, or seek further assistance.

### ULP DATA ENTRY (revised 7/16/2004)

CASE NUMBER: <u>DA-CA-09 — 0230</u>	DATE FILED: MAY 1 9 2009
PROFESSIONAL ASSIGNED:(Code P1) 3 K	DATE:
PROFESSIONAL REASSIGNED: (Code P2)	DATE:
PROFESSIONAL CO-ASSIGNED: (Code P3)	DATE:
OPENING LETTER ISSUED: (Code 01) 05/20/	09
INITIAL ISSUE CODE:	Annational Annation of Annatio
DISPOSITIVE ISSUE CODE: VOLO	
DESCRIPTION ( AN ENTRY MUST NOW BE MADE IN TO Exec. Order; partnership activities or section Raises novel or interesting issue Involves potentially sensitive issue Concerns an issue of nationwide impact which or jurisdictional boundaries Injunction requested by Charging Party Not Applicable	n 7106(b)(1)
COMMENTS: (e.g., Related Case Number or any other inform	nation region would like to add)
KEY WORD CODES: (INSERT APPLICABLE CODE NU Example: Withdrawal Solicitation was After RD De Withdrawal Solicitation  1a) Prior to RD determination w/supervisory approval  1b) Prior to RD determination w/o supervisory approval  2) After RD determination  3) Unsolicited withdrawal	UMBER IN FOLLOWING ACTION TABLE)  Itermination; record A2" for Withdrawal Solicitation  Scope  1) Dispositive action without the taking of formal evidence  2) Dispositive action prior to completion of full investigation  3) Dispositive action after full investigation
Cooperation (by charged party)  1) Full cooperation with affidavits and witnesses  2) Agent talked to witnesses - no affidavits  3) Submission of documentary evidence  4) Submission of statement of position  5) Agent talked to charged party representative  6) Cooperation not required	Remedy  1) Backpay - dollar amount  2) Make whole - dollar amount  3) Status quo ante (bargaining)  4) retroactive bargaining  5) recission of action (non-bargaining)  6) other traditional remedy - FLRA posting, cease & desist order, prospective order (cases involving formal discussion, Weingarten, dues withholding, (a)(1) statement, prospective bargaining)  7) novel remedy (i.e, supervisory training)  8) other
Method 1) On-site affidavits and evidence 2) Telephone affidavits 3) Sworn questionnaire 4) Confirming letters 5) Unsworn questionnaire 6) On-site conversation	Prosecutorial discretion 1) Yes 2) No

ULP data entry - page 1

7) Telephone conversation

ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
Initial inquiry for injunctive relief (Code O2)		n/a
Expedited investigation for injunctive relief (Code 03)		<b>n/a</b>
Biocked (Code B1)		Blocked by Case No.
Unblocked (Code B2)		n/a
Transferred to		AT (Code T1) BN (Code T2) CH (Code T3) DA (Code T4) DE (Code T5) SF (Code T6) WA(Code T7)
Transfer received by transferee Region (Code T8)		n/a
Withdrawal approved (Code W1)		Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion
Withdrawal rescinded (Code W2)		n/a
Withdrawal approved B secondary disposition (withdrawal after prior action) (Code W3)		Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion
Intervention started (Code II)		<b>0/Q</b>
Intervention ended (Code I2)		n/a
Advice requested (Code V1)		Injunction recommended by RegionYesNo
Advice decided (Code V2)		Advice Memo No.  Dismiss charge Complaint Further investigation Telephone advice GC injunction recommended to FLRA
Full dismissal (Code D1)	alogos	Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion
Partial dismissal (Code D2)		Withdrawal (Solicitation)
Full dismissal secondary disposition (dismissal after prior action (Code D4)		Withdrawal (Solicitation) Cooperation Method Scope Prosecutorial discretion

ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
Partial dismissal – secondary disposition (Code D5)		Withdrawal (Solicitation)
File sent by Dismissing Region to OGC for Appeals review (Code A21)		n/a
Appeal file received by Working Region from OGC (Code A37)		n/a
Appeal file received by Dismissing Region from OGC (Code A27)		n/a
Dismissal rescinded (Code D3)		n/a
Private settlement PSIWOC before RD merit determination (Code S1)		Withdrawal (Solicitation) Cooperation Method Scope Remedy
Precomplaint unilateral settlement (Code 52) Precomplaint bilateral settlement) (Code 53) Precomplaint private settlement (Code 54) (PSIWOC after RD merit determination)		Withdrawal (Solicitation)     Cooperation     Method     Scope     Remedy
Postcomplaint private settlement (PSIWOC post complaint) (Code S5) Postcomplaint unilateral settlement (Code S6) Postcomplaint bilateral settlement (Code S7) Posthearing settlement (Code S8)		Remedy
Formal settlement (Code 59)		Remedy
Precomplaint unilateral settlement B secondary action (Code S10) Precomplaint bilateral settlement) B secondary action (Code S11) Precomplaint private settlement B secondary action (Code S12) (PSIWOC after RD merit determination) Private settlement PSIWOC before RD merit determination (Code S13)		Withdrawal (Solicitation) Cooperation Method Scope Remedy
Stipulation filed with Authority (Code ST1)		n/a
Stipulation filed with ALJ		n/a
Stipulation record found insufficient by ALJ		n/a
ULP complaint or case in abeyance (Code X1)		
ULP complaint or appeal or case taken out of abeyance (Code X-3)	Supplies the supplies to the s	

ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
Complaint issued (Code C1)		Cooperation Method Scope
ULP Hearing scheduled (Code JO)		Hearing date No date
Complaint issued B secondary disposition (complaint issued after prior action) (Code C3)		Cooperation Method Scope Hearing date
ULP Hearing scheduled (Code JO)		No date
Request for Summary Judgment filed by OGC with ALJ (Code SJ1)		<b>(n/a</b>
Request for Summary Judgment granted (Code SJ2)		RemedyOALJ Decision#
Motion for postponement of hearing (Code J1)		GC Charging Party Respondent
Ruling on motion for postponement of hearing (Code J2)		New hearing dateIndefinitely postponed
Complaint withdrawn (Code C2)		in/a
Hearing held (enter information on the Hearing screen using the Hearings Data Entry sheet)		n∕a
ALJ decision (enter this information on the Hearing screen using the Hearing Data Entry sheet)		Full violation Partial violation No violation
GC Exceptions filed (Code J6)		n/a
GC Opposition to exceptions filed (Code J7)		n/a
Cross-exceptions filed (Code J8)		n/a
FLRA ULP decision  (Also complete Hearings Data Entry sheet)		Citation  Full violation (Code A1)  Remedy Partial violation (Code A2)  Remedy No violation (Code A3) Remanded to ALJ (Code A4)
Federal court appeal filed (Code CT1)		Name of court
Federal court decision issued (Code CT2)		AffirmReverseRemandModifyAppeal withdrawn Enforce

ACTION	DATE	ENTER KEY WORD CODE FROM LIST, CHECK, OR ENTER INFORMATION AS APPROPRIATE
Compliance initiated (Code C61)		
Noncompliance alleged (Code CM1)		θ/α
Noncompliance action (Code CM2) referred to OGC for enforcement		n/a
Compliance completed (Code CM3)		n/o
Compliance not required Court decision no violation (Code CM4)		h/a

# UNITED STATES OF AMERICA BEFORE THE FEDERAL LABOR RELATIONS AUTHORITY DALLAS REGION

STATE OF ARKANSAS

CASE NAME

DEPARTMENT OF DEFENSE

U.S. ARMY

COUNTY OF ST. FRANCAIS

CASE NUMBER

DA-CA-09-0230

FRANCIS

**AFFIDAVIT** 

I, Eugene Smith, living at

200 South McClendon Street Forrest City, Arkansas 72335

telephone CELL 870-270-2546,

in cooperation with an official investigation being conducted pursuant to the Federal Service Labor-Management Relations Statute under oath, I do hereby make the following voluntary statement. I have been assured by an agent of the Federal Labor Relations Authority that this statement will be considered confidential by the United States Government and will not be disclosed unless it becomes necessary to produce the statement if I testify at a formal proceeding or if otherwise required by Federal law. If necessary, I will testify as follows:

I am currently employed by the U.S. Army in a Human Research Study, although I have not yet been paid for that. I am also receiving disability benefits. The last employer who gave me a paycheck was Commonwealth Edison. I stopped working from them in 1991.

While I have attempted to contact AFGE, I am not currently a member of any Union, to the best of my knowledge. AFGE did not accept my money.

Initial

As the charging party in this case, I will provide the lead and clarifying affidavit. In this charge, I, as an individual, allege that the Department of Defense, U.S. Army, Washington, D.C. (Activity), violated section 7116(a)(1) and Section 7118 of the Federal Service Labor-Management Relations Statute (Statute) when it failed to pay me for my service to the U.S. Army. The above is all this charge is intended to allege, but if the Authority, upon review of my statement, finds evidence of any other violations of the Statute, I want them to be addressed by the Authority.

Factually, in 1969, I signed up to be in a study while I was attending Arkansas State University. I was told the study would be run by the U.S. Army. I was told it would be a behavior study. My participation in the study has been non-stop since about January of 1970. I have never been paid for my participation. The agreement was that I was to be contacted to be paid in 2005, after the study had been running for 35 years. I do not know the name of the sponsor in the Army that was conducting the study.

There were no criteria that I had to do, and instead, the study was to have the Army review my daily habits. I discovered, in or around 2000, that the Army placed something in my head. This was based on my visit to a hospital around 2000. I have written to the Army to request payment, but they have responded that they had no information from me that I had been in any study.

My bottom line in this charge is that I assert that the Army owes me money for my participation in their study from 1970 through today as the study is still on-going. I do not know the exact amount I am owed. I submitted several FOIA request to DOD and to the Army, and all have been negative.

I have never received a pay check from the Federal Government, but I do currently receive a disability check. I assert that the Army should have contacted me in 2005, but didn't, that it should have paid me, but it hasn't, and I look to the Authority to remedy this situation.

I will provide the FLRA with copies of credit reports from 2008 and 2009 from Trans Union that reflect that I was employed by the Army, Medical Research and Development in Frederick Maryland, by the Department of Defense. Also ALetter from ARKANSAS STATE UNIVERSITY AT JONES boro, ARNANSAS IS ENCLOSED.

I have read the above statement consisting of 2 pages, and, under oath say, to the best of my knowledge or belief, it is true. The information I provided in this affidavit is all the evidence I (have in this case at this time)

Affiant's Signature

AUGUST 20,2009

CREDIT RICHORTING AGIENCY TransUnion

Page 1 of 16

Rame:

EUGENE B. SMITH

You have been on our files since 10/1971

File Number: 162615621 Date issued: 01/03/2008

XXX-XX-5212 01/1952 SSN: Date of Birth:

Kolenburn

630-8988

Your SSN is pertially masked for your protection

#### CURRENT ADDRESS

Address

200 S. MCCLENDON ST.

FORREST CITY, AR 72335

Date Reported: 09/2004

#### PREVIOUS ADDRESSES

Address:

1452 PO EOX 1452 FORREST CITY, AR 72336

Buto Repurted: 06/2004

Addressa

748 ANNETTE ST. FORREST CITY, AR 72325

#### EMPLOYMENT DATA REPORTED

Date Reported: 10/2005

Employer Nome: US ARMY MED RESEARCH & DEVELOPMEN Location: FREDERICK, MD

Employer Namo: EXELON Onto Reported: 04/2002

Employer Name: DEPARTMENT OF DEFENCE LOCATION: WASHINGTON, DC

03/2000

Date Reported:

eployer Remo: ASSISTANT SECRETARY OF THE ARMY COMMISSION WASHINGTON, DC 205/2021: 05/1975

Location: Deto Vertical:

en inita Pesilian: Date Hired:

Passine Date Mirad:

Position

Fosilium

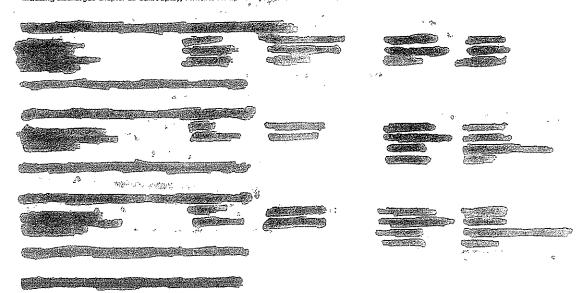
04/1971

SGRD HR

Epocle! Notes: Your Sociel Sequity number has been masked for your protection. You may request disclosure of the full number by writing to us at the address found at the end of this report. Also if any item on your credit report begins with "MED1", it includes medical information and the data following MED1' is not displayed to anyone but you except where permitted by law.

#### Public Records

The following items obtained from public records appear on your report. You may be required to explain public record items to potential creditors. Any banksuptcy information will remain on your report for 10 years from the date of the filling. Unpaid tax liens may generally be reported for an indefinite period of time depanding on your state of residence. Paid tax liens may be recorded for 7 years from date of payment. All other public record information, including discharged chapter 13 banksuptcy, remains for up to 7 years.



https://annualcreditreport.transunion.com/products/single/viewPrintableReport.jsp?printVie... 1/3/2008

JANUARY 2008

CASE NUMBER - DA-CA-09-0230

JUNE 2009

File Number: Page: Date Issued:

182615621 1 of 8 06/05/2009



#### Personal Information

Name:

**EUGENE SMITH** 

You have been on our files since 10/1971

SSN:

XXX-XX-5212

Date of Birth: Telephone:

01/1952 11 270-2546

Your SSN is partially masked for your protection.

#### **CURRENT ADDRESS**

Address:

200 S MCCLENDON ST

FORREST CITY, AR 72335

Date Reported:

09/2004

#### **PREVIOUS ADDRESS**

1452 PO BOX 1452,

FORREST CITY, AR 72336

Date Reported:

08/2004

Address:

748 ANNETTE ST

FORREST CITY, AR 72335

#### **EM**PLOYMENT DATA REPORTED

Employer Name:

Location:

RETIRED

Date Verified:

WASHINGTON, DC

05/1975

Employer Name: ocation:

US ARMY MED RESEARCH & DEVELOPMEN

Date Reported:

FREDERICK, MD 10/2006

**Employer Name:** Date Reported:

EXELON 04/2002

**Employer Name:** 

Location: Date Reported: **DEPARTMENT OF DEFENCE** 

WASHINGTON, DC

03/2000

Position:

Hired:

04/1971

Position:

Hired:

Position: Hired:

Hired:

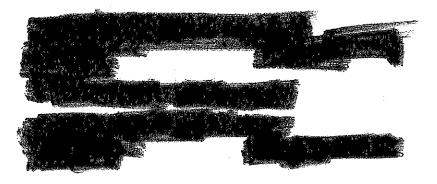
SGRD HR

Position:

Special Notes: Your Social Security number has been masked for your protection. You may request disclosure of the full number by writing to us at the address found at the end of this report. Also, if any item on your credit report begins with 'MED1', it includes medical information and the data following 'MED1' is not displayed to anyone but you except where permitted by law.

#### Fig. a liet Relate Res

The following items obtained from public records appear on your report. You may be required to explain public record items to potential creditors. Any bankruptcy information will remain on your report for 10 years from the date of the filing. Unpaid tax liens may generally be reported for an indefinite period of time depending on your state of residence. Paid tax liens may be reported for Z years from date of payment. All other public record information, including discharged chapter 13 bankruptcy, remains for up to 7 years.







PASE NUMBER - DA - CA-09-0230



P.O. Box 189 State University, AR 72467-0189

July 19, 2004

870-972-2048

Fax:

870-972-3002

www.astate.edu

Jonesboro, Arkansas

I would like to inform you that there are no medical records on file at Arkansas

State University for Mr. Eugene Smith, 429-02-5212. No records were found under the name or social security number that was provided. Wir Smith has been assigned to no government program since 1969-70. If you have any questions regarding this matter, you may contact me at any time.

Sincerely,

William R. Stripling, Ph.D.

To Whom It May Concern:

Vice Chancellor for Student Affairs

WRS/bs

Xc: Les Wyatt

Lonnie Williams

Pam Kail Linda Farris

CASE NUMBER - DA - CA - 09 - 0230

### Declaration Under 28 U.S.C. 1746

#### Declaration

### I, <u>Eugene Smith</u>, do hereby declare:

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

1 ,

Signature

Eugene Smith

200 S. McClendon St.

Forrest City, Arkansas 72335

901-246-9563

870-270-2546

FAX

LIVIN, LUGENE OIDIGE

Date:	6/1/2009		

Pages including cover sheet: 3

To:	William	D.	Kirsner
Phone			
Fax Number	+1 (214) 7	67-015	6

From:	Eugene Smith	
	Genealena & Associates, LL	
	200 South McClendon St.	
	Forrest City	
	AR	72335
	}	
Phone	{ Sender Phone }	
Fax Number	(888) 870-3060	

#### NOTE:

William D. Kirsner Attorney United States of America Federal Labor Relations Authority Dallas Region 525 South Griffin Street, Suite 926, LB 107 Dallas, TX 75202-5093 (214) 767-6266 Phone (214) 767-0156 Fax Eugene Smith 200 South McClendon Street Forrest City, Arkansas 72335

May 30, 2009

United States of America
Federal Labor Relations Authority
Dallas Region
525 South Griffin Street, Suite 926, LB 107
Dallas, TX 75202-5093
(214) 767-6266 Phone
(214) 767- 0156 Fax

Reference: Case # DA-CA-09-0230 Eugene Smith v. Department of Defense/ U.S Army

Dear William D. Kirsner Attorney FLRA

United States Army Surgeon General <u>LTG Eric B. Schoomaker</u> Surgeon General/Commander, U.S Army Medical Command.

Office of the Surgeon General 5109 Leesburg Pike
Falls Church, VA 22041-3258
Telephone 703-681-0497

I expect that this office will point you to someone who can explain to you why I have not received pay.

I have been a civilian employee of the U.S Army/U.S Department of Defense since 1969. The deferred employee payroll agreement calls for me to receive pay and benefits after December 2005. The armed Services are four years in fiduciary default of payment. The U.S Army/ U.S Department of Defense have no intension of paying what is owed to me for the last 39 years. The office is head of all medical research in the United States. No Research is approved unless it goes through that office. The U.S Army is interfering with the communication with the American Federation of Government Employees. I have called AFGE and left messages about joining. The U.S Army refusal to honor request under 5 U.S.C 552, 552(a) FOIA has resulted in denial of information to get a union membership. Without the release of employment information from the U.S Army I cannot join the desired labor union. The Landrum-Griffin Act of 1959 states that management cannot refuse to report on the relationship with an employee as stated in the Labor-Management and Reporting Disclosure Act.

What the U.S Army/ U.S Department of Defense are doing is concealing employment information to deny the (me) the employee membership in the American Federation of Government Employee union in which he is entitled to join.

The U.S Army/ U.S Department of Defense are in violation of the National Labor Relations Act of 1935 enacted to govern labor-management relations. The NLRA purpose is as stated: The general objective of the act to guarantee to employees "the right to self-organization, to form, join, or assist labor organizations, to bargain collectively through representatives of their own choosing, and to engage in concerted activities for the purpose of collective bargaining or other mutual aid and protection." To safeguard these rights and to ensure the orderly exercise of them, the act created the National Labor Relations Board (NLRB), which, among other powers,

JUN-01-2009 14:08 96% P.02

rrom, Eugene Smith

has the authority to prevent employers from engaging in certain specified unfair labor practices. Examples of such practices are acts of interference, restraint, or coercion upon employees with respect to their right to organize and bargain collectively; domination of or interference with the formation or administration of any labor organization, or the contribution of financial or other support thereto; discrimination in regard to hiring or dismissal of employees or to any term or condition of employment, in order to encourage or discourage membership in any labor organization; discrimination against any employee for filing charges or giving testimony under the provisions of the act". These people have been restraining and interfering with my attempts to join a union.

Therefore, the United States Army and United States Department of Defense Prohibited (me) the employee from seeking a collective Bargaining Agreement with the American Federation of Government Employees violating the National Labor Relations Act and the Taft- Hartley Act. For all of these reasons I am charging the U.S Army/ U.S Department of Defense with unfair labor Practices.

United States Secretary Of The Army Pete Geren 104 Army Pentagon Washington, D.C 20530 703-704-4357

Robert Gates U.S Secretary of Defense 1400 Defense Pentagon Washington, D.C 20301-1400-703-545-6700

The Secretary of Defense Robert Gates is the head of the United States Army.

Sincerely Eugene Smith (870) 270-2546 (901) 246-9536

P.03